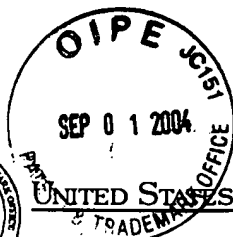


1FW/



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/728,122	12/03/2003	David R. Cox	UCSF-127CON2

CONFIRMATION NO. 7640

24353
 BOZICEVIC, FIELD & FRANCIS LLP
 200 MIDDLEFIELD RD
 SUITE 200
 MENLO PARK, CA 94025

FORMALITIES LETTER



OC000000013156749

COPY

Date Mailed: 07/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$54 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$504** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$54**

09/07/2004 EAREGAY1 00000067 500815 10728122

01 FC:2001 385.00 DA
 03 FC:2202 65.00 DA

09/07/2004 EAREGAY1 00000067 10728122
 01 FC:2001 385.00 DA
 02 FC:2051 65.00 DA
 03 FC:2202 54.00 DA

- \$54 for 6 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

B.H.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/728,122
		Filing Date	December 3, 2003
		First Named Inventor	COX, DAVID R.
		Group Art Unit	1634
		Examiner Name	
Total Number of Pages in This Submission	5	Attorney Docket Number	UCSF-127CON2
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Copy of Notice to File Missing Parts 2. Postcard	Remarks
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Signing Attorney/Agent (Reg. No.)	PAMELA J. SHERWOOD, 36,677 BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	September 1, 2004		

EXPRESS MAIL LABEL NO. EV462737848US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004		Complete if Known	
Effective 10/01/2003. Patent fees are subject to annual revision.		Application Number	10/728,122
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	December 3, 2003
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	COX, DAVID R.
(\$)		Examiner Name	
METHOD OF PAYMENT (check all that apply)		Art Unit	1634
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		Attorney Docket No.	UCSF-127CON2
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 50-0815 Deposit Account Name: Bozicevic, Field & Francis LLP		FEE CALCULATION (continued)	
The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		3. ADDITIONAL FEES	
FEE CALCULATION		Large Entity Small Entity	
1. BASIC FILING FEE		Fee Code (\$)	
Large Entity Fee Code (\$)		Fee Code (\$)	
Small Entity Fee Code (\$)		Fee Description	
1001 770 2001 385 Utility filing fee		1051 130 2051 65 Surcharge - late filing fee or oath	
1002 340 2002 170 Design filing fee		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
1003 530 2003 265 Plant filing fee		1053 130 2053 130 Non-English specification	
1004 770 2004 385 Reissue filing fee		1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
1005 160 2005 80 Provisional filing fee		1804 920* 1804 920* Requesting publication of SIR prior to Examination action	
SUBTOTAL (1)		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
385		1251 110 2251 55 Extension for reply within first month	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1252 420 2252 210 Extension for reply within second month	
Total Claims 26 -20** = 6 x 9 = 54		1253 950 2253 475 Extension for reply within third month	
Indep. Claims 3 -3** = 0 x =		1254 1,480 2254 740 Extension for reply within fourth month	
Multiple Dependent =		1255 2,010 2255 1,005 Extension for reply within fifth month	
Large Entity Small Entity		1401 330 2401 165 Notice of Appeal	
Fee Code (\$)		1402 330 2402 165 Filing a brief in support of an appeal	
1202 18 2202 9 Claims in excess of 20		1403 290 2403 145 Request for oral hearing	
1201 86 2201 43 Independent claims in excess of 3		1451 1,510 1451 1,510 Petition to institute a public use proceeding	
1203 290 2203 145 Multiple dependent claim, if not paid		1452 110 2452 55 Petition to revive - unavoidable	
1204 86 2204 43 ** Reissue independent claims over original patent		1453 1,330 2453 665 Petition to revive - unintentional	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1501 1,330 2501 665 Utility issue fee (or reissue)	
SUBTOTAL (2) \$		1502 480 2502 240 Design issue fee	
54		1503 640 2503 320 Plant issue fee	
**or number previously paid, if greater; For Reissues, see above.		1406 130 1460 130 Petitions to the Commissioner	
		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
		1806 180 1806 180 Submission of Information Disclosure Stmt	
		8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
		1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))	
		1801 770 2801 385 Request for Continued Examination (RCE)	
		1802 900 1802 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		*Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$)	
		65	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Pamela J. Sherwood	Registration No. (Attorney/Agent)	36,677
Signature		Telephone	(650) 833-7790
		Date	09/01/2004

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